



Fintech Compliance Advisory Services

As an award winning compliance services provider, CompliancePlus leverages proven and tested compliance solutions and solid licensing and regulatory advisory experience to serve our clients on Fintech related issues.

Our Fintech capabilities and areas of work include:

- Applying SFC licenses for virtual assets trading platforms
- Applying Money Services Operators (MSO) license for currency exchange and payment companies
- Applying Money Lenders license for online lending platform
- Advising on blockchain and cryptocurrency related initiatives
- Artificial Intelligence related compliance advisory
- Crowdfunding advisory
- InsurTech related advisory
- RegTech research
- Advising on Mobile payment and stored value facility (SVF) systems
- Licensing and corporate governance and compliance structure for fintech companies
- Data Privacy Compliance
- Cybersecurity policies and procedures
- Non-face-to-face/remote clients onboarding and account opening issues and conducting related documentation review
- Electronic and Algorithmic trading compliance and risk control
- Online services agreement and website terms and conditions and disclaimers reviews
- Robotics advisory
- Online investment products distribution
- Initial Coins Offering (ICO)
- Risk management on digital and virtual assets investments
- Conducting Anti-Money Laundering checking and onsite and review
- Advising on clients' suitability and risk profiling
- Conducting name and sanctioned lists screening

Our compliance solutions are innovative and creative enabling our clients to achieve their innovative and ambitious business initiatives and objectives.

Our services are tailored-made for various types of financial institutions such as:

- Banks
- Asset Managers
- Hedge Fund Managers
- Fintech Startups
- Private Equity Houses
- Money Lenders
- Online Payment Agency
- Insurance Companies
- Money Services Operators
- Money Lenders
- Virtual currencies dealers
- Alternative exchange and trading systems and platforms

As our client's trusted adviser, our goal is to understand their business ideas and objectives and to help clients navigate the challenges of the changing regulatory landscape.

For Fintech Compliance Services Inquiries, please contact:

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